

II. Inventor Search

A. Dialog

- File 348:EUROPEAN PATENTS 1978-201028
(c) 2010 European Patent Office
- File 349:PCT FULLTEXT 1979-2010/UB=20100722|UT=20100715
(c) 2010 WIPO/Thomson
- File 485:Accounting & Tax DB 1971-2010/Jul W2
(c) 2010 ProQuest Info&Learning
- File 625:American Banker Publications 1981-2008/Jun 26
(c) 2008 American Banker
- File 268:Banking Info Source 1981-2010/Jul W2
(c) 2010 ProQuest Info&Learning
- File 626:Bond Buyer Full Text 1981-2008/Jul 07
(c) 2008 Bond Buyer
- File 267:Finance & Banking Newsletters 2008/Sep 29
(c) 2008 Dialog
- File 637:Journal of Commerce 1986-2010/Jul 24
(c) 2010 UBM Global Trade
- File 608:MCT Information Svc. 1992-2010/Jul 24
(c) 2010 MCT Information Svc.
- File 15:ABI/Inform(R) 1971-2010/Jul 24
(c) 2010 ProQuest Info&Learning
- File 9:Business & Industry(R) Jul/1994-2010/Jul 23
(c) 2010 Gale/Cengage
- File 610:Business Wire 1999-2010/Jul 24
(c) 2010 Business Wire.
- File 810:Business Wire 1986-1999/Feb 28
(c) 1999 Business Wire
- File 275:Gale Group Computer DB(TM) 1983-2010/Jun 14
(c) 2010 Gale/Cengage
- File 624:McGraw-Hill Publications 1985-2010/Jul 23
(c) 2010 McGraw-Hill Co. Inc
- File 621:Gale Group New Prod.Annou.(R) 1985-2010/Jun 03
(c) 2010 Gale/Cengage
- File 636:Gale Group Newsletter DB(TM) 1987-2010/Jul 23
(c) 2010 Gale/Cengage
- File 613:PR Newswire 1999-2010/Jul 24
(c) 2010 PR Newswire Association Inc
- File 813:PR Newswire 1987-1999/Apr 30

(c) 1999 PR Newswire Association Inc
 File 16:Gale Group PROMT(R) 1990-2010/Jul 23
 (c) 2010 Gale/Cengage
 File 160:Gale Group PROMT(R) 1972-1989
 (c) 1999 The Gale Group
 File 634:San Jose Mercury Jun 1985-2010/Jul 22
 (c) 2010 San Jose Mercury News
 File 148:Gale Group Trade & Industry DB 1976-2010/Jul 23
 (c) 2010 Gale/Cengage
 File 20:Dialog Global Reporter 1997-2010/Jul 24
 (c) 2010 Dialog
 File 35:Dissertation Abs Online 1861-2010/Jun
 (c) 2010 ProQuest Info&Learning
 File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
 (c) 2002 Gale/Cengage
 File 65:Inside Conferences 1993-2010/Jul 23
 (c) 2010 BLDSC all rts. reserv.
 File 2:INSPEC 1898-2010/Jul W3
 (c) 2010 The IET
 File 474:New York Times Abs 1969-2010/Jul 24
 (c) 2010 The New York Times
 File 475:Wall Street Journal Abs 1973-2010/Jul 24
 (c) 2010 The New York Times
 File 99:Wilson Appl. Sci & Tech Abs 1983-2010/May
 (c) 2010 The HW Wilson Co.
 File 256:TecTrends 1982-2010/Jul W3
 (c) 2010 Info.Sources Inc. All rights res.
 File 139:EconLit 1969-2010/Jun
 (c) 2010 American Economic Association
 File 169:Insurance Periodicals 1984-1999/Nov 15
 (c) 1999 NILS Publishing Co.
 File 347:JAPIO Dec 1976-2010/Feb(Updated 100525)
 (c) 2010 JPO & JAPIO
 File 350:Derwent WPIX 1963-2010/UD=201046
 (c) 2010 Thomson Reuters

Set	Items	Description
S1	781	AU=(NEFF, R? OR NEFF R? OR HIMMELSTEIN, R? OR HIMMELSTEIN R?)
S2	7	S3 AND BARTER?
S3-S4	{DELETED}	
S5	7	IDPAT (sorted in duplicate/non-duplicate order)
S6	5	IDPAT (primary/non-duplicate records only)

6/3/1 (Item 1 from file: 350)
 DIALOG(R)File 350: Derwent WPIX

III. Text Search Results from Dialog (Full Text dbs)

A. Full-Text Databases – PATENT

File 348:EUROPEAN PATENTS 1978-200950

(c) 2009 European Patent Office

File 349:PCT FULLTEXT 1979-2009/UB=20091210|UT=20091203

(c) 2009 WIPO/Thomson

Set	Items	Description
S1	4235903	(VOLUME? ? OR SIZE? ? OR NUMBER? ? OR QUANTIT???) (3N) (SHARE? ? OR EQUITIES OR ORDER? ? OR INSTRUMENT? ? OR TRANSACTION?) OR MARKET(2W) (CAP? OR VALUE? ? OR WORTH) OR (COMPANY OR CORPORATE) (2N) (SIZE? ? OR WORTH?)
S2	2265	((COUNTER? OR CONTRA OR RESPOND? OR REPLY? OR RESPONS??? OR MATCHING) (3N) (ORDER? ? OR OFFER? ? OR QUOTE? ? OR BID? ? OR ASK? ? OR FLOW? ? OR RFQ OR REQUEST? ? OR BOOK? ?) OR COUNTEROFFER? OR CONTRAOFFER OR COUNTERFLOW?) (5N) (MARKET()MAKER? OR MARKETMAKER? OR BROKER? ? OR INTERMEDIARY OR SPECIALIST? ? OR AUCTIONEER? ?)
S3	501	S1 AND S2
S4	397	(TRAD???? OR EXCHANG? OR MARKET? OR BARTER? OR MERCANTILE) (3N) (DERIVATIVE? OR SECURITY OR SECURITIES OR OPTIONS OR FUTURES OR STOCK? ? OR BOND? ? OR FINANCIAL(2W) (INSTRUMENT? OR OBLIGATION? OR DEBENTURE?) OR O()T()C OR OTC OR OVER(2W)COUNTER OR AUTOMAT?? OR COMPUTERI?) OR NYSE OR BOURSE AMEX OR NASDAQ
S5	253	(GENERAT? OR SELECT? OR NOMINAT? OR IDENTIF? OR IDENTITY? OR SPECIF? OR DIRECT? OR RULE? ? OR CHOOS? OR NOMINAT? OR CRITERI? OR CONDITION? OR MATCH??? OR PARAMET? OR PICK?) (12N) (COMPUTER? OR PROCESSOR? OR SYSTEM OR HUB? ? OR SERVER? ? OR MICROPROCESSOR? OR SOFTWARE OR PROGRAM? OR AUTOMAT??)
S6	100	S5(S)S1
S7	24	S6(S)S2
S8	8	S7 FROM 348,349
S9	2	S8 NOT AY>1999
S10	16	S7 NOT S8
S11	14	RD (unique items)
S12	2	S11 NOT PY>1999

B. Full-Text Databases – NON-PATENT

- File 485:Accounting & Tax DB 1971-2010/Jul W2
(c) 2010 ProQuest Info&Learning
- File 625:American Banker Publications 1981-2008/Jun 26
(c) 2008 American Banker
- File 268:Banking Info Source 1981-2010/Jul W2
(c) 2010 ProQuest Info&Learning
- File 626:Bond Buyer Full Text 1981-2008/Jul 07
(c) 2008 Bond Buyer
- File 267:Finance & Banking Newsletters 2008/Sep 29
(c) 2008 Dialog
- File 637:Journal of Commerce 1986-2010/Jul 24
(c) 2010 UBM Global Trade
- File 608:MCT Information Svc. 1992-2010/Jul 25
(c) 2010 MCT Information Svc.
- File 15:ABI/Inform(R) 1971-2010/Jul 24
(c) 2010 ProQuest Info&Learning
- File 9:Business & Industry(R) Jul/1994-2010/Jul 23
(c) 2010 Gale/Cengage
- File 610:Business Wire 1999-2010/Jul 25
(c) 2010 Business Wire.
- File 810:Business Wire 1986-1999/Feb 28
(c) 1999 Business Wire
- File 275:Gale Group Computer DB(TM) 1983-2010/Jun 14
(c) 2010 Gale/Cengage
- File 624:McGraw-Hill Publications 1985-2010/Jul 23
(c) 2010 McGraw-Hill Co. Inc
- File 621:Gale Group New Prod.Annou.(R) 1985-2010/Jun 03
(c) 2010 Gale/Cengage
- File 636:Gale Group Newsletter DB(TM) 1987-2010/Jul 23
(c) 2010 Gale/Cengage
- File 613:PR Newswire 1999-2010/Jul 24
(c) 2010 PR Newswire Association Inc
- File 813:PR Newswire 1987-1999/Apr 30
(c) 1999 PR Newswire Association Inc
- File 16:Gale Group PROMT(R) 1990-2010/Jul 23
(c) 2010 Gale/Cengage
- File 160:Gale Group PROMT(R) 1972-1989
(c) 1999 The Gale Group
- File 634:San Jose Mercury Jun 1985-2010/Jul 22
(c) 2010 San Jose Mercury News
- File 148:Gale Group Trade & Industry DB 1976-2010/Jul 23

(c) 2010 Gale/Cengage
File 20:Dialog Global Reporter 1997-2010/Jul 25
(c) 2010 Dialog

Set	Items	Description
S1	4235903	(VOLUME? ? OR SIZE? ? OR NUMBER? ? OR QUANTIT???) (3N) (SHARE? ? OR EQUITIES OR ORDER? ? OR INSTRUMENT? ? OR TRANSACTION?) OR MARKET(2W) (CAP? OR VALUE? ? OR WORTH) OR (COMPANY OR CORPORATE) (2N) (SIZE? ? OR WORTH?)
S2	2265	((COUNTER? OR CONTRA OR RESPOND? OR REPLY? OR RESPONS??? OR MATCHING) (3N) (ORDER? ? OR OFFER? ? OR QUOTE? ? OR BID? ? OR ASK? ? OR FLOW? ? OR RFQ OR REQUEST? ? OR BOOK? ?) OR COUNTEROFFER? OR CONTRAOFFER OR COUNTERFLOW?) (5N) (MARKET()MAKER? OR MARKETMAKER? OR BROKER? ? OR INTERMEDIARY OR SPECIALIST? ? OR AUCTIONEER? ?)
S3	501	S1 AND S2
S4	397	(TRAD???? OR EXCHANG? OR MARKET? OR BARTER? OR MERCANTILE) (3N) (DERIVATIVE? OR SECURITY OR SECURITIES OR OPTIONS OR FUTURES OR STOCK? ? OR BOND? ? OR FINANCIAL(2W) (INSTRUMENT? OR OBLIGATION? OR DEBENTURE?) OR O()T()C OR OTC OR OVER(2W) COUNTER OR AUTOMAT?? OR COMPUTERI?) OR NYSE OR BOURSE AMEX OR NASDAQ
S5	253	(GENERAT? OR SELECT? OR NOMINAT? OR IDENTIF? OR IDENTITY? OR SPECIF? OR DIRECT? OR RULE? ? OR CHOOS? OR NOMINAT? OR CRITERI? OR CONDITION? OR MATCH??? OR PARAMET? OR PICK?) (12N) (COMPUTER? OR PROCESSOR? OR SYSTEM OR HUB? ? OR SERVER? ? OR MICROPROCESSOR? OR SOFTWARE OR PROGRAM? OR AUTOMAT???)
S6	100	S5(S)S1
S7	24	S6(S)S2
S8	8	S7 FROM 348,349
S9	2	S8 NOT AY>1999
S10	16	S7 NOT S8
S11	14	RD (unique items)
S12	2	S11 NOT PY>1999

12/3,K/2 (Item 1 from file: 20)
DIALOG(R)File 20: Dialog Global Reporter
(c) 2010 Dialog. All rights reserved.

IV. Text Search Results from Dialog (Abstract dbs)

A. Abstract Databases -- Patent

File 347:JAPIO Dec 1976-2009/Nov(Updated 100228)

(c) 2010 JPO & JAPIO

File 350:Derwent WPIX 1963-2010/UD=201019

(c) 2010 Thomson Reuters

Set Items Description

S1 199054 (VOLUME? ? OR SIZE? ? OR NUMBER? ? OR QUANTIT???) (3N) (SHARE? ? OR EQUITIES OR ORDER? ? OR INSTRUMENT? ? OR TRANSACTION?) OR MARKET(2W) (CAP? OR VALUE? ? OR WORTH) OR (COMPANY OR CORPORATE) (2N) (SIZE? ? OR WORTH?)

S2 15 ((COUNTER? OR CONTRA OR RESPOND? OR REPLY? OR RESPONS??? OR MATCHING) (3N) (ORDER? ? OR OFFER? ? OR QUOTE? ? OR BID? ? OR ASK? ? OR FLOW? ? OR RFQ OR REQUEST? ? OR BOOK? ?) OR COUNTEROFFER? OR CONTRAOFFER OR COUNTERFLOW?) (9N) (MARKET()MAKER? OR MARKETMAKER? OR BROKER? ? OR INTERMEDIARY??? OR SPECIALIST? ? OR AUCTIONEER? ?)

S3 10009 (TRAD???? OR EXCHANG? OR MARKET? OR BARTER? OR MERCANTILE) (3N) (DERIVATIVE? OR SECURITY OR SECURITIES OR OPTIONS OR FUTURES OR STOCK? ? OR BOND? ? OR FINANCIAL(2W) (INSTRUMENT? OR OBLIGATION? OR DEBENTURE?) OR O()T()C OR OTC OR OVER(2W)COUNTER OR AUTOMAT?? OR ELECTRONIC? OR COMPUTERI?) OR NYSE OR BOURSE AMEX OR NASDAQ

S4 915 (GENERAT? OR SELECT? OR NOMINAT? OR IDENTIF? OR IDENTITY? OR SPECIF? OR DIRECT? OR RULE? ? OR CHOOS? OR NOMINAT? OR CRITERI? OR CONDITION? OR MATCH??? OR PARAMET? OR PICK?) (12N) (COMPUTER? OR PROCESSOR? OR SYSTEM OR HUB? ? OR SERVER? ? OR MICROPROCESSOR? OR ELECTRONIC? OR SOFTWARE OR PROGRAM? OR AUTOMAT???)

S5 317 ((COUNTER? OR CONTRA OR RESPOND? OR REPLY? OR RESPONS??? OR MATCHING) (3N) (ORDER? ? OR OFFER? ? OR QUOTE? ? OR BID? ? OR ASK? ? OR FLOW? ? OR RFQ OR REQUEST? ? OR BOOK? ?) OR COUNTEROFFER? OR CONTRAOFFER OR COUNTERFLOW?) (9N) (MARKET()MAKER? OR MARKETMAKER? OR BROKER? ? OR INTERMEDIARY??? OR SPECIALIST? ? OR AUCTIONEER? ?)

S6 573 S4(S)S1
 S7 3 S6(S)S5
 S8 649 S3(S)S4
 S9 10 S5 AND S8
 S10 10 S9 OR S7
 S11 10 S10 FROM 347,350
 S12 2 S11 NOT AY>1999
 S13 761 S6 OR S8
 S14 61 S13(12N)((COUNTER? OR CONTRA OR RESPOND? OR REPLY? OR RESPONS??? OR MATCHING) (3N)(ORDER? ? OR OFFER? ? OR QUOTE? ? OR BID? ? OR ASK? ? OR FLOW? ? OR RFQ OR REQUEST? ? OR BOOK? ?) OR COUNTEROFFER? OR CONTRAOFFER? OR COUNTERFLOW?)
 S15 54 S14 NOT S9
 S16 50 S15 FROM 347,350
 S17 4 S15 NOT S16
 S18 3 S17 NOT PY>1999
 S19 3 RD (unique items)
 S20 4 S16 NOT AY>1999

20/3,K/3 (Item 3 from file: 350)
 DIALOG(R)File 350: Derwent WPIX
 (c) 2010 Thomson Reuters. All rights reserved.

0007867074 Drawing available
 WPI Acc no: 1996-497833/199649
 XRPX Acc No: N1996-419772

Computer implemented crossing network which matches buy and sell orders for trading instruments - receives satisfaction density profile for buying or selling from trader terminal and matches pairs of profiles with each other

Patent Assignee: MJT HOLDINGS INC (MJTH-N); OPTIMA TECHNOLOGIES INC (OPTI-N);
 OPTIMARK TECHNOLOGIES INC (OPTI-N); OPTIMARK TECHNOLOGY CO (OPTI-N)
 Inventor: LUPIEN W A; RICHARD J T; RICKARD J T

US 5689652	A	Patent File US (199541021272)	Countries	19950427	199801	E
Parent Number	Kind	19 Date	Application Number	19 Date	Update	Type
WO 1996034357	A1	19961031	WO 1996US7265	A	19960426	199649
NO 199609236	A	19961128	WO 1996093265	A	19960426	199810
ZA 199602454	A	19970430	ZA 199744926	A	19970824	199723

B. Abstract Databases – NON-PATENT

- File 35:Dissertation Abs Online 1861-2010/Jun
(c) 2010 ProQuest Info&Learning
- File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
(c) 2002 Gale/Cengage
- File 65:Inside Conferences 1993-2010/Jul 23
(c) 2010 BLDSR all rts. reserv.
- File 2:INSPEC 1898-2010/Jul W3
(c) 2010 The IET
- File 474:New York Times Abs 1969-2010/Jul 24
(c) 2010 The New York Times
- File 475:Wall Street Journal Abs 1973-2010/Jul 24
(c) 2010 The New York Times
- File 99:Wilson Appl. Sci & Tech Abs 1983-2010/May
(c) 2010 The HW Wilson Co.
- File 256:TecTrends 1982-2010/Jul W3
(c) 2010 Info.Sources Inc. All rights res.
- File 8:Ei Compendex(R) 1884-2010/Jul W2
(c) 2010 Elsevier Eng. Info. Inc.
- File 6:NTIS 1964-2010/Jul W4
(c) 2010 NTIS, Intl Cpyrght All Rights Res
- File 34:SciSearch(R) Cited Ref Sci 1990-2010/Jul W3
(c) 2010 The Thomson Corp
- File 434:SciSearch(R) Cited Ref Sci 1974-1989/Dec
(c) 2006 The Thomson Corp
- File 7:Social SciSearch(R) 1972-2010/Jul W3
(c) 2010 The Thomson Corp
- File 139:EconLit 1969-2010/Jun
(c) 2010 American Economic Association
- File 169:Insurance Periodicals 1984-1999/Nov 15
(c) 1999 NILS Publishing Co.

Set Items Description
S1 199054 (VOLUME? ? OR SIZE? ? OR NUMBER? ? OR QUANTIT???)
 (3N) (SHARE? ? OR EQUITIES OR ORDER? ? OR INSTRUMENT? ? OR TRANSACTION?) OR

MARKET(2W) (CAP? OR VALUE? ? OR WORTH) OR (COMPANY OR CORPORATE)(2N) (SIZE? ? OR WORTH?)

S2 15 ((COUNTER? OR CONTRA OR RESPOND? OR REPLY? OR RESPONS??? OR MATCHING) (3N) (ORDER? ? OR OFFER? ? OR QUOTE? ? OR BID? ? OR ASK? ? OR FLOW? ? OR RFQ OR REQUEST? ? OR BOOK? ?) OR COUNTEROFFER? OR CONTRAOFFER OR COUNTERFLOW?) (9N) (MARKET()MAKER? OR MARKETMAKER? OR BROKER? ? OR INTERMEDIARY??? OR SPECIALIST? ? OR AUCTIONEER? ?)

S3 10009 (TRAD???? OR EXCHANG? OR MARKET? OR BARTER? OR MERCANTILE) (3N) (DERIVATIVE? OR SECURITY OR SECURITIES OR OPTIONS OR FUTURES OR STOCK? ? OR BOND? ? OR FINANCIAL(2W) (INSTRUMENT? OR OBLIGATION? OR DEBENTURE?) OR O()T()C OR OTC OR OVER(2W) COUNTER OR AUTOMAT?? OR ELECTRONIC? OR COMPUTERI?) OR NYSE OR BOURSE AMEX OR NASDAQ

S4 915 (GENERAT? OR SELECT? OR NOMINAT? OR IDENTIF? OR IDENTITY? OR SPECIF? OR DIRECT? OR RULE? ? OR CHOOS? OR NOMINAT? OR CRITERI? OR CONDITION? OR MATCH??? OR PARAMET? OR PICK?) (12N) (COMPUTER? OR PROCESSOR? OR SYSTEM OR HUB? ? OR SERVER? ? OR MICROPROCESSOR? OR ELECTRONIC? OR SOFTWARE OR PROGRAM? OR AUTOMAT???)

S5 317 ((COUNTER? OR CONTRA OR RESPOND? OR REPLY? OR RESPONS??? OR MATCHING) (3N) (ORDER? ? OR OFFER? ? OR QUOTE? ? OR BID? ? OR ASK? ? OR FLOW? ? OR RFQ OR REQUEST? ? OR BOOK? ?) OR COUNTEROFFER? OR CONTRAOFFER OR COUNTERFLOW?) (9N) (MARKET()MAKER? OR MARKETMAKER? OR BROKER? ? OR INTERMEDIARY??? OR SPECIALIST? ? OR AUCTIONEER? ?)

S6 573 S4(S)S1
S7 3 S6(S)S5
S8 649 S3(S)S4
S9 10 S5 AND S8
S10 10 S9 OR S7
S11 10 S10 FROM 347,350
S12 2 S11 NOT AY>1999
S13 761 S6 OR S8
S14 61 S13(12N)((COUNTER? OR CONTRA OR RESPOND? OR REPLY? OR RESPONS??? OR MATCHING) (3N) (ORDER? ? OR OFFER? ? OR QUOTE? ? OR BID? ? OR ASK? ? OR FLOW? ? OR RFQ OR REQUEST? ? OR BOOK? ?) OR COUNTEROFFER? OR CONTRAOFFER? OR COUNTERFLOW?)

S15 54 S14 NOT S9
S16 50 S15 FROM 347,350
S17 4 S15 NOT S16
S18 3 S17 NOT PY>1999
S19 3 RD (unique items)
S20 4 S16 NOT AY>1999

19/5,K/3 (Item 1 from file: 2)
DIALOG(R)File 2: INSPEC